

Chairman's Award for Excellence

“This award is given to members of the Commission’s staff whose special accomplishments produced significant improvements in the agency’s programs or management.” (1995)

1990

Michael D. Mann
Phillip D. Parker

1991

James R. Doty
Barbara J. Green
Colleen P. Mahoney
Mary E. Keefe
James M. McConnell

1992

Kathryn Fulton
William R. McLucas
Richard H. Walker
Marianne K. Smythe

1993

Carrie E. Dwyer
John D. Heine
Walter P. Schuetze
William C. Weeden

1994

Participants of the Municipal Securities Disclosure Program

1995

Michael E. Schlein
The Internet Team

1996

The NASDAQ Team

1997

Nancy Smith and the Office of Investor Education and Assistance

1998

Richard R. Lindsey and the Alternative Trading Systems Team
Lori A. Richards and the SRO Inspection Group

1999

Harvey J. Goldschmid
Jennifer A. Scardino
Lynn E. Turner

2000

Auditor Independence Team

2001

September 11, 2001 Members of the Northeast Regional Office and Jayne L. Seidman

2002

Wayne M. Carlin
Division of Enforcement for Real Time Enforcement

2003

The Enron Team

2004

Paul F. Roye
The Mutual Fund Reform Team

2005

The CCO Outreach Program

2006

Seniors Initiative Team

2007

Office of International Affairs

2008

Credit Default Swaps Team

2009

Milton J. Pepin
Money Market Fund Reform Team
Cutillo/Galleon/Stephanou Team

2010

Abacus CDO Investigative Team
Flash Crash Team
Public Company Accounting Standards Board Small Business Issuer Forum Team